

Received: 5 April 2019 Accepted: 28 June 2019 Published online: 10 July 2019

# **OPEN** Spatial variation of vector vortex beams with plasmonic metasurfaces

Yuchao Zhang, Jie Gao & Xiaodong Yang

The spatial variation of vector vortex beams with arbitrary polarization states and orbital angular momentum (OAM) values along the beam propagation is demonstrated by using plasmonic metasurfaces with the initial geometric phase profiles determined from the caustic theory. The vector vortex beam is produced by the superposition of deflected right- and left-handed circularly polarized component vortices with different helical phase charges, which are simultaneously generated off-axially by the single metasurface. Besides, the detailed evolution processes of intensity profile, polarization distribution and OAM value along the beam propagation distance is analyzed. The demonstrated arbitrary space-variant vector vortex beam will pave the way to many promising applications related to spin-to-orbital angular momentum conversion, spin-orbit hybrid entanglement, particle manipulation and transportation, and optical communication.

Optical vector vortex beams have polarization singularities and also possess phase singularities in both their right- and left-handed circularly polarized (RCP and LCP) component vortices. With particular characteristics in polarization and phase structures, vector vortex beams have made a wide variety of applications in the fields of optical tight focus<sup>1-3</sup>, optical tweezing<sup>4-6</sup>, spin-to-orbital angular momentum conversion<sup>7-10</sup>, confocal microscopy<sup>11,12</sup>, and metrology<sup>13,14</sup>. An optical vortex beam with homogeneous polarization state has a helical phase front with azimuthal phase dependency of  $\exp(il\varphi)$ , where  $\varphi$  is the azimuthal angle, l is the topological charge (TC) of helical phase with  $l\hbar$  representing the OAM carried by each photon. A vector vortex beam with space-variant polarization state has the singularity of polarization which is coincident with the corresponding singularity of helical phase. As the most common vector vortex beam, radially or azimuthally polarized beam is produced by the equally-weighted superposition of two component vortices with opposite circular polarization states and opposite OAM values of l=+1 and l=-1. While the high-order vector vortex beam is composed by two component vortices with large OAM values and opposite circular polarizations<sup>15</sup>, which can be used for ultrasensitive angular measurement and spin object detection 13,14. The OAM value and polarization distribution of an optical beam can be changed along the beam propagation in free space. Recently, the topological charge inversion of optical vortex has been demonstrated 16,17 and the Poincaré beams with polarization transformation during the free space propagation are shown 18. The vector vortex beams with both varying OAM and polarization state has also been studied <sup>19,20</sup>. It is essential to generate vector vortex beams with arbitrarily polarization states and OAM values varying along the beam propagation, which has not been demonstrated yet.

The conventional method for realizing the spatial variation of vector vortex beams requires bulky free-space optical components including spatial light modulator and Fourier transform lens, which increases the optical system complexity and also limits the photonic integration. Recently, metasurfaces made of nanoantenna arrays in ultrathin metallic films have been provided a powerful platform for tailoring the phase front. By introducing the geometric phase accompanied with polarization conversion<sup>21-26</sup>, metasurfaces have been widely used for building on-chip wavefront shaping devices such as optical vortex generators  $^{27-30}$ , flat optical lenses  $^{31-36}$ , thin wave plates  $^{37-40}$  and holograms  $^{41-45}$ .

Here, an effective approach to realize the spatial variation of vector vortex beams with arbitrary polarization states and OAM values of RCP and LCP component vortices along the beam propagation is demonstrated based on the plasmonic metasurfaces with the initial phase profiles designed from the caustic theory. With only a single metasurface, both the deflected RCP and LCP component vortices with different helical phase charges are

Department of Mechanical and Aerospace Engineering, Missouri University of Science and Technology, Rolla, MO, 65409, USA. Correspondence and requests for materials should be addressed to J.G. (email: gaojie@mst.edu) or X.Y. (email: yangxia@mst.edu)

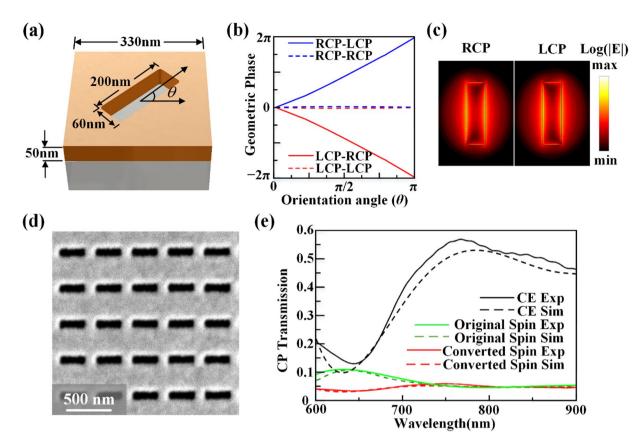


Figure 1. (a) Schematic of the unit cell with the nanoslit antenna at orientation angle  $\theta$ . (b) Simulated relationship between the phase shift of the converted polarization and the orientation angle of nanoslit antenna. (c) Simulated electric field |E| distributions of nanoslit antenna under circular polarizations at 633 nm. (d) A SEM image of the homogeneous nanoslit antenna array. (e) Measured and simulated transmission spectra and conversion efficiency spectra under circular polarization basis.

generated simultaneously, and the vector vortex beam is produced by the superposition of these two component vortices. Furthermore, the detailed evolution processes of intensity profile, polarization distribution and OAM value along the beam propagation distance is presented. The spatial variation of vector vortex beams could have miscellaneous applications due to the polarization singularities and the OAMs carried in both RCP and LCP component vortices. The variation of vector vortex provides different OAMs and polarization states at different propagation distances, which give more degrees of freedom for the applications involved with both OAM and polarization, especially in the regimes of particle manipulation, optical communication and quantum information processing. For the particle manipulation, both OAM and polarization of light can be transferred to microparticles with torques, and different OAMs or polarization states will create different mechanical torques to microparticles. Thereby, the space-variant vector vortex beam could generate space-depended torque that has distinct magnitude and orientation at each location, and such feature could be used as particle and DNA investigations <sup>46,47</sup>. For the optical communication and quantum information processing, both OAM and polarization state can represent the bit and qubit of information. The space-variant OAM and polarization state provide extended degrees of freedom for the entanglement based on both spin and orbital angular momentum <sup>48,49</sup>.

#### Results

**Design of plasmonic metasurface.** As shown in Fig. 1(a), the subwavelength nanoslit antennas with different orientation angles are etched in a gold film with thickness of 50 nm on glass substrate using focused ion beam (FIB, FEI Helios Nanolab 600 Dual Beam system). The unit cell of the nanoslit antenna has the period of 330 nm, and the width and length of nanoslit antenna is 60 nm and 200 nm, respectively. As a circularly polarized beam is incident on the metasurface, the introduced geometric phase shift to the converted spin component transmitted from each nanoslit antenna is  $2\theta$  which is twice as the orientation angle of nanoslit, while the original spin component has no phase shift. Also for RCP incidence the transmitted LCP component has a phase shift of  $2\theta$ , while for LCP incidence the transmitted RCP component has a reversed phase shift of  $-2\theta^{15,50}$ . The simulated relationship between the phase shift of the converted polarization and the orientation angle of nanoslit antenna is shown in Fig. 1(b). Figure 1(c) shows the simulated electric field |E| distributions at the wavelength of 633 nm, where the RCP and LCP components have different field profiles, indicating strong polarization anisotropy. Figure 1(d) gives the SEM image of the fabricated homogeneous array of nanoslit antennas. For the transmission under circular polarization basis, the total transmitted beam through the metasurface contains both the

original spin component and the converted spin component. The polarization conversion efficiency is defined as the intensity ratio between the converted spin component and the total transmitted beam. Figure 1(e) plots the measured and simulated spectra of original spin transmission (green lines), converted spin transmission (red lines) and conversion efficiency (CE, black lines). The observed maximum conversion efficiency is around 55% near 800 nm, where the plasmonic resonance occurs. Here a HeNe laser at 633 nm is used in experiments due to its high-quality laser beam for the measurements of both beam intensity profiles and interference patterns. The transmission efficiency of plasmonic metasurfaces is relatively low due to the large Ohmic loss of metal. However, the principle of geometric phase-based metasurfaces can be extended to the recently developed dielectric metasurfaces made of silicon or titanium oxide which have very low absorption loss for manipulating light with ultrahigh transmission efficiency and high polarization conversion efficiency<sup>24-26</sup>.

It has been shown that the vector vortex beam can be generated by the superposition of two component vortices with opposite circular polarizations and different helical phase charges, with the optical field for vector vortex beam expressed as <sup>15,51</sup>:

$$\mathbf{E} = \frac{1}{\sqrt{2}}(|R, l_R\rangle + |L, l_L\rangle) \tag{1}$$

where  $|R, l_R\rangle = \exp[i(l_R\varphi + \Theta)](1, -i)^T$  represents the RCP component with helical phase charge of  $l_R$ ,  $|L, l_L\rangle = \exp[i(l_L\varphi - \Theta)](1, i)^T$  represents the LCP component with charge of  $l_L$ , and  $\Theta$  is a phase constant to represent the phase difference between the RCP and LCP components, so that the total vector field is written as 52:

$$\mathbf{E} = \frac{1}{\sqrt{2}} \left[ \exp[i(l_R \varphi + \Theta)] \binom{1}{-i} + \exp[i(l_L \varphi - \Theta)] \binom{1}{i} \right]$$

$$= \frac{1}{\sqrt{2}} \exp(in\varphi) \left[ \frac{\cos(m\varphi + \Theta)}{\sin(m\varphi + \Theta)} \right]$$
(2)

where  $m=(l_R-l_L)/2$  represents the TC of polarization state for the vector vortex beam,  $\Theta$  is the initial polarization orientation for  $\varphi=0$ , and  $n=(l_R+l_L)/2$  is the average OAM value of RCP and LCP component vortices. The parameter m describes polarization rotations per round trip along the azimuthal direction, which is called as the index of the vector vortex beam<sup>53</sup>. For example, the case for n=0, m=1 and  $\Theta=0$  corresponds to the radially polarized beam which is a transverse magnetic (TM) beam, while the case for n=0, m=1 and  $\Theta=\pi/2$  gives the azimuthally polarized beam which is a transverse electric (TE) beam. To distinguish the original spin component and the converted spin component, a linear phase gradient is imposed into the geometric phase profile, so that the converted spin component is deflected by an angle with respect to the original spin component. As shown in Fig. 2(a), after passing through the metasurface, the incident LCP or RCP beam is converted into two deflected RCP or LCP vortex beams with the desired helical phase charges. As the incident beam is linearly polarized, the converted beam will be a vector vortex beam with the superposition of both the output RCP and LCP components.

The initial geometric phase profile encoded on the metasurface is determined from the caustic theory. It has been shown that the caustic curve can be generated by modulating the phase on the initial input plane, which has been used to produce a series of nondiffracting Bessel-like beams with arbitrary trajectories<sup>54</sup>. Assuming the initial input field is  $\Psi(x_0, y_0, z=0) = G(r_0) \exp(ikQ(x_0, y_0))$ , the optical field at propagation distance z could be calculated by the paraxial Fresnel integral:

$$\Psi(x, y, z) = \frac{\exp(ikz)}{i\lambda z} \int \int G(r_0) \exp\left\{ik \left[ Q(x_0, y_0) + \frac{(x - x_0)^2 + (y - y_0)^2}{2z} \right] \right\} dx_0 dy_0.$$
(3)

By applying the stationary phase approach, the optical field is determined by the critical points satisfied the conditions of  $(x - x_0)/z = dQ/dx_0$  and  $(y - y_0)/z = dQ/dy_0$ . According to the caustic method<sup>54</sup>, the point at the propagation distance z = z' corresponds to a circle C(z = z') on the input plane and a conical ray bundle emitted from this circle will intersect at this point, as shown in Fig. 2(b). As the circle C(z) shifts and expands on the input plane, the continuous caustic curve represented by [f(z), g(z), z] is formed by the locus of the apexes of the conical ray bundles emitted from the circle C(z). The function of circle C(z) for generating a conical ray bundle satisfies  $(x_0 - x_c)^2 + (y_0 - y_c)^2 = R(z)^2$ , where  $(x_c, y_c)$  is the coordinates of the circle center with  $x_c = f - zf'$  and  $y_c = g - zg'$  and R(z) is the circle radius. The circle function C(z) is related to the propagation distance z with  $z = z(x_0, y_0)$ . If no vortex is imposed on the initial field, the conical ray bundle emitted from the circle C(z) is focused at the point [f(z), g(z), z]. In this case, the initial function  $Q(x_0, y_0)$  is expressed by the formula<sup>54</sup>:

$$Q(x_0, y_0) = \frac{1}{2} \int_0^z \left\{ [f'(\xi)]^2 + [g'(\xi)]^2 - \left[ \frac{R(\xi)}{\xi} \right]^2 \right\} d\xi - \frac{(f - x_0)^2 + (g - y_0)^2}{2z}$$
(4)

By adding the vortex phase  $\exp(il\varphi)$ , the initial input phase is changed into  $\exp[i(kQ+l\varphi)]$ . Then the corresponding stationary phase condition becomes  $(l/k) \cdot d\varphi/dx_0 + dQ/dx_0 = (x-x_0)/z$  and  $(l/k) \cdot d\varphi/dy_0 + dQ/dy_0 = (y-y_0)/z$ . Due to the large wavenumber k, the terms  $(l/k) \cdot d\varphi/dx_0$  and  $(l/k) \cdot d\varphi/dy_0$  can be neglected, so that after adding the vortex structure, the optical field at z is still majorly contributed by the circle C(z). In this case, the helical phase on the circle C(z) is  $\exp(jl\varphi)$ , and by using polar coordinates of  $(x_0, y_0) = r_0(\cos\varphi, \sin\varphi)$ 

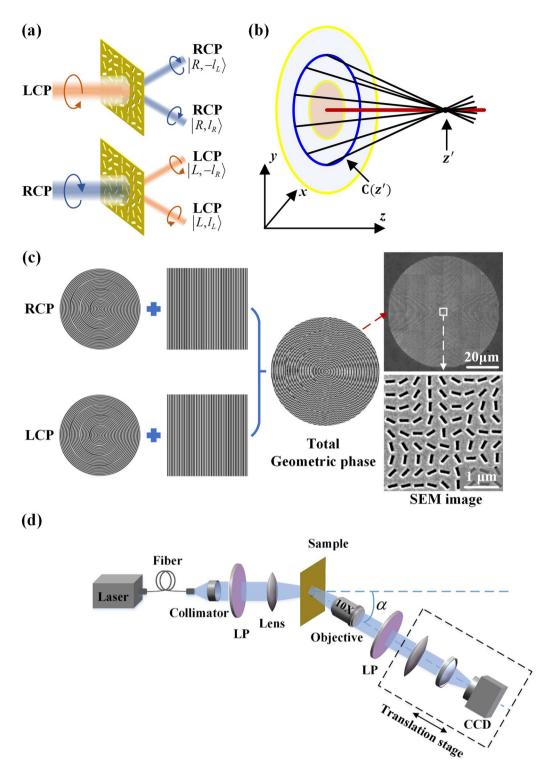


Figure 2. (a) The deflected RCP and LCP beams through the metasurface. (b) Schematic of the formation of caustic curve. (c) Generation steps of the total geometric phase profile for producing the space-variant vector vortex beam. The right column is the SEM images of the whole metasurface (upper figure) and the central part of metasurface marked by the white square (bottom figure). (d) Schematic illustration of the experimental setup to characterize the vector vortex beam.

and  $(x, y) = \rho(\cos\theta, \sin\theta)$ , the complex field distribution at the propagation distance z is calculated by  $F(z) = \int \exp(il\varphi) \exp(-ikr_0\rho\cos(\varphi-\theta)/z) \,d\varphi = 2\pi J_l(kr_0\rho/z) \exp(il\theta)^{54}$ , showing a vortex field with charge of l and the Bessel-like intensity distribution with order l.

In order to generate space-variant vector vortex beam, the initial input plane is divided into four different zones, and different zones have different TCs of helical phase. Since the optical field distribution at the propagation distance z is mainly determined by the circle C(z) on the input plane, vortex fields with helical phase charges varying as the propagation distance can be created by different circular zones with the corresponding charges on the input plane. As the beam propagates, different circular zones will generate optical vortices with varying charges. The yellow dashed circles divide the input plane into different circular zones, and the distance Zt(s) corresponding to each yellow dashed circle is defined as the transition distance. A function L(z) is used to represent the TCs of helical phase at different distances:

$$L(z) = \{l^s | Zt(s-1) < z < Zt(s)\}, \ s = 1, 2, 3, 4.$$

where  $Zt(s)=20 \cdot s$  (µm). In the current case, the beam propagates along the straight line, so that the parameter function of caustic curve is [f(z)=0,g(z)=0,z] and the radius function is R(z)=0.625z. The circle function C(z) is  $x_0^2+y_0^2=0.39z^2$ , and thus  $z(x_0,y_0)=0.625\sqrt{x_0^2+y_0^2}$ . The function  $Q(x_0,y_0)=-0.625\sqrt{(x_0^2+y_0^2)}$  is obtained with Eq. (4). It can be seen that in this case the function  $Q(x_0,y_0)$  is a simple axicon phase profile. The caustic phase function to realize the space-variant vortex is acquired by adding the helical phase structure  $L[z(x_0,y_0)] \cdot \varphi$  into  $kQ(x_0,y_0)$ :

$$P(x_0, y_0) = kQ(x_0, y_0) + L(z)\arctan(y_0/x_0).$$
(6)

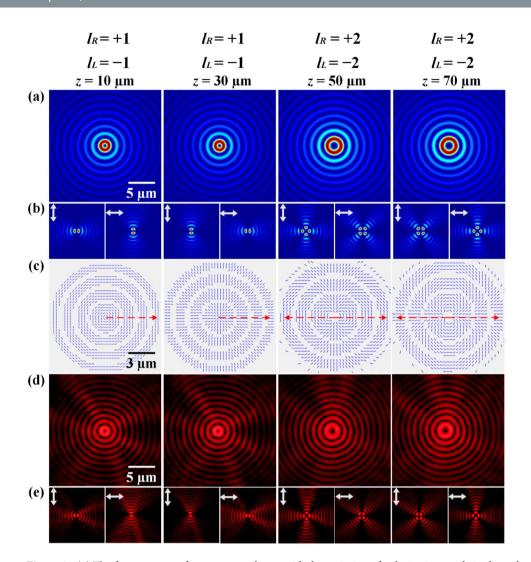
The vector vortex beam is produced by the superposition of two vortex beams with opposite circular polarizations and different helical phase charges. Since the metasurface formed by rotating the nanoslit antenna will generate geometric phase profiles of  $\varphi_{geom}(x,y)$  and  $-\varphi_{geom}(x,y)$  for the LCP and RCP components, the phase profiles for the LCP and RCP components need to be designed separately. First, for the LCP component, the caustic phase function of Eq. (6) is  $P_L = kQ + L_L(z)\varphi$ , where  $L_L(z) = \{l_L^1, \ l_L^2, \ l_L^3, \ l_L^4\}$ . Then a linear phase gradient of  $2\pi x/\Lambda$  ( $\Lambda = 1.6 \,\mu\text{m}$ ) is imposed to the caustic phase function  $P_L$ , so that the converted LCP beam will propagate with a deflection angle of  $\alpha = \arctan(\lambda/\Lambda)$  and the total complex amplitude is  $\exp[i(P_L + 2\pi x/\Lambda)]$ . Second, for the RCP component  $P_R = kQ + L_R(z)\varphi$ , and  $L_R(z) = \{l_R^1, \ l_R^2, \ l_R^3, \ l_R^4\}$ . A linear phase gradient  $2\pi x/\Lambda$  is also imposed to  $P_R$ . Since the geometric phase shift is negative for the RCP component, the total complex amplitude for the converted RCP beam is  $\exp[-i(P_R + 2\pi x/\Lambda)]$ . Finally, the total geometric phase profile for producing space-variant vector vortex beam is obtained by superimposing the complex amplitudes of both RCP and LCP components and then calculating the argument of the summation complex function, as shown in Fig. 2(c). The total geometric phase profile from the superposition of the RCP component with helical phase charge of  $L_R$  and the LCP component with charge of  $L_L$  is then expressed as  $^{15,55}$ :

$$\varphi_{geom}(x, y) = \arg \left\{ \exp \left[ -i \left( P_R + \Theta_z + \frac{2\pi x}{\Lambda} \right) \right] + \exp \left[ i \left( P_L - \Theta_z + \frac{2\pi x}{\Lambda} \right) \right] \right\}, \tag{7}$$

where  $\Theta_z$  is the phase difference between the RCP and LCP components as a function of propagation distance, and  $\Theta_z$  has different values on different divided circular zones on the input plane.  $\Theta_z$  determines the initial polarization orientation of vector vortex beam, with  $\Theta_z$  = 0 and  $\Theta_z$  =  $\pi/2$  correspond to TM and TE polarization mode, respectively.

There are two channels of the vector vortex beams generated by the total geometric phase profile from Eq. (7). The first term of Eq. (7) with phase gradient  $2\pi x/\Lambda$  will deflect the RCP component at a positive angle, while the second term with phase gradient  $-2\pi x/\Lambda$  will deflect the RCP component at a negative angle. For the LCP component, the deflection angle is reversed. For the channel at the positive angle, the beam is the superposition of  $|R, L_R\rangle + |L, L_L\rangle$ , while for the other channel at the negative angle, the beam is the superposition of  $|R, -L_L\rangle + |L, -L_R\rangle$ . Both channels produce vector vortex beams, and here only the channel at the positive angle is measured under the incident horizontal linear polarization, as shown in Fig. 2(d). In this work, three different categories of space-variant vector vortex beams are designed: (1) variation of polarization mode in the order of  $(TE \to TM \to TE \to TM)$  with m=1, 2 and n=0; (2) variation of OAM value in the sequence of  $n=(1\to 2\to 3\to 4)$  with m=1; (3) variation of TC of polarization state in the order of  $m=(0\to 1\to 2\to 3)$  with m=4.

**Spatial variation of vector vortex beams.** The first type of vector vortex beam with the variation of polarization mode has  $L_R(z) = \{1, 1, 2, 2\}$  and  $L_L(z) = \{-1, -1, -2, -2\}$ , so that the OAM value  $n = (L_R + L_L)/2 = 0$  keeps invariant and the TCs of polarization state changes in the order of  $m = (L_R - L_L)/2 = \{1, 1, 2, 2\}$ . The initial polarization orientation changes in the order of  $\Theta_z = \{\pi/2, 0, \pi/2, 0\}$ , so the variation of polarization mode is  $(TE \to TM \to TE \to TM)$ . Figure 3(a) plots the simulated beam intensity distributions at different propagation distances. Figure 3(b) is the corresponding intensity distributions analyzed with vertical or horizontal linear polarizer, showing petal patterns with the variation of petal numbers  $2m = \{2, 2, 4, 4\}$ . Figure 3(c) gives the calculated polarization distributions. It can be seen from Fig. 3(b) at  $z = 10 \, \mu m$ , a dark line parallel to the transmission axis of the polarization analyzer is observed with the petal number of 2. The polarization distribution at  $z = 10 \, \mu m$  in Fig. 3(c) also clearly shows the initial polarization orientation of  $\pi/2$  and the polarization rotation of  $2\pi$  per round trip, indicating the azimuthally polarized vector beam with the TC of polarization state of 1 and TE polarization mode. At  $z = 30 \, \mu m$ , the dark line is perpendicular to the transmission axis of the analyzer, and the polarization distributions show the initial polarization orientation of 0 and the polarization rotation of  $2\pi$  per round trip, giving the radially polarized vector beam with the TC of polarization state of 1 and TM polarization mode. At  $z = 50 \, \mu m$  and  $z = 70 \, \mu m$ , the beam spot becomes larger due to the increased vortex charge of  $I_R^{13,4} | = 2$ . In these

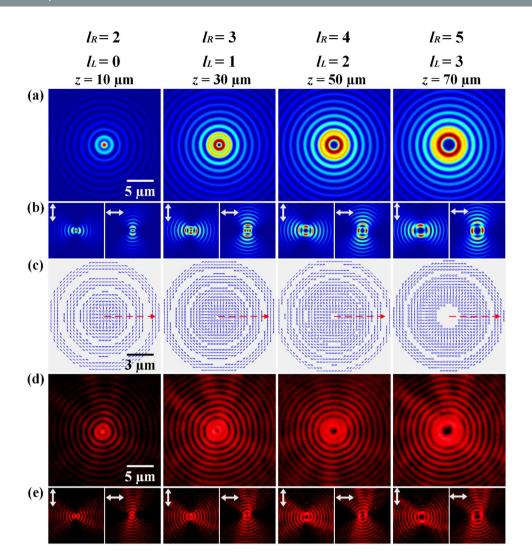


**Figure 3.** (a) The first category of vector vortex beam with the variation of polarization mode in the order of  $(TE \rightarrow TM \rightarrow TE \rightarrow TM)$  with  $m = \{1, 1, 2, 2\}$  and n = 0. (a) Simulated beam intensity distributions without polarization analyzer at different propagation distances. (b) The corresponding intensity distributions analyzed with vertical or horizontal linear polarizer. (c) Simulated polarization distributions at different propagation distances. (d,e) The corresponding measured beam intensity distributions. The detailed transition processes of both beam intensity and polarization distributions are shown in the supplementary video.

two cases, the polarization rotation is  $4\pi$  per round trip, so that the TC of polarization state equals 2 and the corresponding petal number becomes 4. Besides, the initial polarization orientation is  $\pi/2$  for  $z=50\,\mu m$  and 0 for  $z=70\,\mu m$ , indicating the polarization mode is TE for  $z=50\,\mu m$  and TM for  $z=70\,\mu m$ , respectively. The evolution of vector vortex beam during the transition process is measured at the wavelength of 633 nm. Figure 3(d) displays the measured beam intensity distributions without polarization analyzer at different propagation distances, and Fig. 3(e) plots the corresponding intensity distributions analyzed with vertical or horizontal linear polarizer, which shows coincidence with the simulation results.

Furthermore, the transition process of the first-type vector vortex beam with the spatial variation of polarization mode in the order of (TE  $\rightarrow$  TM  $\rightarrow$  TE  $\rightarrow$  TM) is demonstrated in the supplementary video, which shows the transition processes of both beam intensity and polarization distributions from  $z=10\,\mu m$  to  $z=70\,\mu m$  with the interval of 2  $\mu m$ . It is shown that for the vector vortex beam, the original linear polarization (LP) state becomes the circular polarization (CP) state, and then changes into another LP state with different polarization orientation compared to the original LP state.

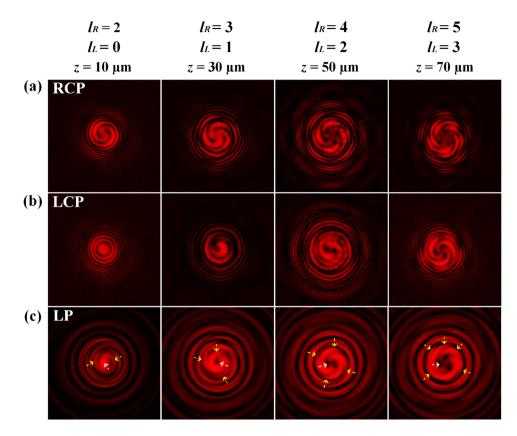
The second category of vector vortex beam with the variation of OAM value has  $L_R(z) = \{2, 3, 4, 5\}$  and  $L_L(z) = \{0, 1, 2, 3\}$ , giving the OAM value varies in the order of  $n = (L_R + L_L)/2 = \{1, 2, 3, 4\}$  and the TC of polarization  $m = (L_R - L_L)/2 = 1$  keeps invariant. All these vector vortices are TE polarization modes with the initial polarization orientation  $\Theta = \pi/2$ . The simulated beam intensity and polarization distributions are shown in Fig. 4(a-c). It is observed that the beam spot in Fig. 4(a) has the enlarged spot size as the OAM value n increases with respect to the propagation distance z. The petal structures in Fig. 4(b) always display the petal number of 2 at different propagation distances, due to the TC of polarization m = 1. From the polarization distributions in



**Figure 4.** The second category of vector vortex beam with the variation of OAM value in the sequence of  $n = (1 \rightarrow 2 \rightarrow 3 \rightarrow 4)$  with m = 1. (a) Simulated beam intensity distributions without polarization analyzer at different propagation distances. (b) The corresponding intensity distributions analyzed with vertical or horizontal linear polarizer. (c) Simulated polarization distributions. (d,e) Measured beam intensity distributions.

Fig. 4(c), it is observed that near the beam center the polarization state is circular polarization because in this case the superimposed Bessel beams have different orders. For the vortex helical phase of  $\exp(il\varphi)$ , the beam field has a Bessel distribution with order l as  $J_l(kr_0\rho/z)$ . For the superposition of two vortex beams with  $\exp(il_R\varphi)$  and  $\exp(il_L\varphi)$  and  $I_R > I_L$ , the Bessel function with order  $I_R$  exhibits a larger radius than that with order  $I_L$ , so near the beam center, the intensity of LCP component is stronger than the intensity of RCP component, resulting in almost circular polarization near the beam center. Away from the beam center, the superposition of LCP and RCP components with the similar intensity exhibits nearly linear polarization. The initial polarization orientation is  $\pi/2$ , giving the TE polarization mode. The measured beam intensity distributions are shown in the Fig. 4(d,e).

In order to investigate the transition process of OAM value, the vector vortex beam is interfered with a reference spherical wave under RCP, LCP and LP at different propagation distances, as shown in Fig. 5. The vector beam is consisted of RCP and LCP components, and when the reference spherical wave has only RCP or LCP polarization, the interference results will only give the OAM information of the RCP or LCP component. If the reference spherical wave has linear polarization, due to the linear polarization is the superposition of RCP and LCP components, the interference with LP spherical wave will give the information of both RCP and LCP components in the vector beam. Here the RCP interference patterns in Fig. 5(a) only give the information of RCP components, showing a vortex spiral structure with the spiral number equals to  $l_R$ . The LCP interference patterns in Fig. 5(b) only give the information of LCP components, showing a vortex spiral structure with spiral number equals to  $l_L$ . The LP interference patterns in Fig. 5(c) contain both the inner part with  $l_L$  spirals (marked by the white arrow) and the outer part with  $l_R$  spirals (marked by the yellow arrows), giving the information of both RCP and LCP components in the vector vortex beam.



**Figure 5.** Measured interference patterns for the vector vortex beams with the reference spherical wave under (a) RCP, (b) LCP and (c) LP at different propagation distances. The interference structures at the beam center are marked by white arrows and the structures at the outer region are marked by yellow arrows.

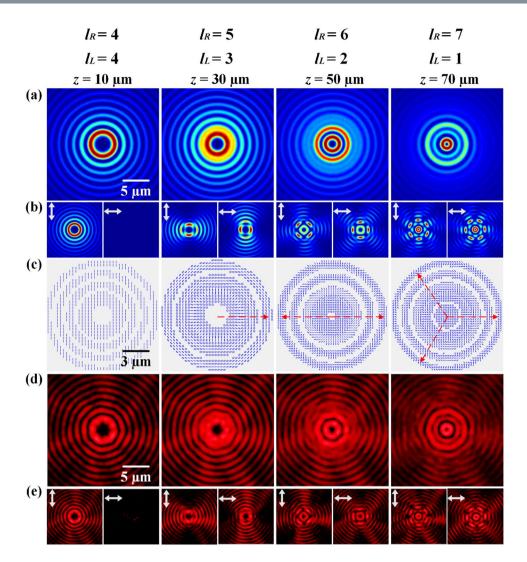
The last category of vector vortex beam with the variation of TC of polarization state has the increased parameter m but the constant OAM value, where  $L_R(z)=\{4,5,6,7\}$  and  $L_L(z)=\{4,3,2,1\}$ , so that the OAM value is  $n=(L_R+L_L)/2=4$ , and the TCs of polarization state changes in the order of  $m=(L_R-L_L)/2=\{0,1,2,3\}$ . The simulated intensity and polarization distributions are shown in Fig. 6(a-c). The intensity distributions show the petal patterns with petal number of 2m. At  $z=10\,\mu\text{m}$ , the beam is linearly polarized and there is no petal structure with m=0, while at  $z=30\,\mu\text{m}$ ,  $50\,\mu\text{m}$  and  $70\,\mu\text{m}$ , the corresponding petal numbers are  $2\,m=\{2,4,6\}$ . For the polarization distributions shown in Fig. 6(c), at  $z=10\,\mu\text{m}$ , the beam only contains vertical linear polarization state. At other propagation distances, the superposition of RCP and LCP components with different orders of Bessel functions results in circular polarization state near the beam center and linear polarization state with rotation of  $2\pi \cdot m$  per round trip out of the beam center. Figure 6(d,e) are the corresponding measured beam intensity distributions, which agree with the simulation prediction.

### Discussion

In summary, the spatial variation of vector vortex beams with arbitrary polarization states and OAM values along the beam propagation has been demonstrated by using single plasmonic metasurfaces with the encoded initial phase profiles determined from the caustic theory. Based on the geometric phase profile induced by nanoslit antenna array, the deflected vector vortex beam is produced by the superposition of RCP and LCP component vortices with different helical phase charges transmitted through the same metasurface. Three different categories of vector vortex beams with the spatial variation of polarization mode, OAM value, and TC of polarization state are studied. Along the beam propagation distance, the detailed beam evolution process is analyzed for intensity profile, polarization distribution and OAM value. The demonstrated arbitrary spatial variation of vector vortex beam will advance many promising applications in spin-to-orbital angular momentum conversion, spin-orbit hybrid entanglement, quantum information processing, particle manipulation and transportation, and optical communication.

#### Methods

**Simulations.** The finite-integration time-domain (FITD) solver of the CST Microwave Studio software is employed to simulate the optical field distribution and the transmission spectrum. In the simulation, periodic boundary conditions are used along both x and y directions in the unit cell. The permittivity of gold is taken from spectroscopic ellipsometry data, and the refractive index of glass substrate is 1.45. The evolution processes of intensity and polarization distributions for vector vortex beams shown in Figs 3, 4 and 6 are calculated by using the Fresnel-Kirchhoff diffraction integral:



**Figure 6.** The third category of vector vortex beam with the variation of TC of polarization state in the order of  $m = (0 \rightarrow 1 \rightarrow 2 \rightarrow 3)$  with n = 4. (a) Simulated beam intensity distributions without polarization analyzer at different propagation distances. (b) The corresponding intensity distributions analyzed with vertical or horizontal linear polarizer. (c) Simulated polarization distributions. (d,e) Measured beam intensity distributions.

$$\Psi(x, y, z) = \frac{1}{i\lambda} \iint_{S} \Psi(x_0, y_0) \left[ \frac{\cos(\overrightarrow{\mathbf{n}}, \mathbf{r}) - \cos(\overrightarrow{\mathbf{n}}, \mathbf{r}')}{2} \right] \frac{e^{ikr}}{r} dS$$
(8)

where  $\Psi(x_0, y_0)$  is the complex amplitude distribution located at the z=0 plane with surface area S and normal direction n,  $\mathbf{r}'$  is the vector between the source point and a point in the z=0 plane,  $\mathbf{r}$  is the vector between the point in z=0 plane and a point in the plane at the propagation distance z, and  $k=2\pi/\lambda$  is the wavevector. The polarization distributions are plotted by using the Stokes parameters.

**Sample fabrication.** A 50 nm-thick gold film is deposited on a glass substrate using electron-beam evaporation. Then the nanoslit antenna arrays are milled in the gold film using focused ion beam system (FEI Helios Nanolab 600, 30 kV, 9.7 pA). The metasurface contains  $300 \times 300$  unit cells, and each unit cell has the period of 330 nm and contains a nanoslit with size of  $200 \text{ nm} \times 6$  nm at a specified orientation angle which is determined by the designed geometric phase profile.

**Optical characterization.** The transmission spectra of the metasurface under circular polarization basis shown in Fig. 1(d) are measured with a collimated broadband Tungsten-Halogen source, where a combination of a linear polarizer and a quarter-wave plate are used to convert the incident light to circularly polarized wave. The light beam is focused normally onto the sample using a  $50\times$  objective lens and the transmitted light is collected by another  $10\times$  objective lens to a spectrometer (Horiba, iHR 550). The deflected vector vortex beam is measured by a microscope imaging system with a  $10\times$  objective lens, a  $0.5\times$  tube lens and a CCD camera placed on a translation stage with a tilted angle of  $\alpha = \arctan(\lambda/\Lambda) = 21^{\circ}$  to the optical axis, as shown in Fig. 2(d).

#### References

- 1. Dorn, R., Quabis, S. & Leuchs, G. Sharper focus for a radially polarized light beam. Phys. Rev. Lett. 91, 233901 (2003)
- 2. Wang, H., Shi, L., Lukyanchuk, B., Sheppard, C. & Chong, C. T. Creation of a needle of longitudinally polarized light in vacuum using binary optics. *Nat. Photon.* 2, 501–505 (2008).
- 3. Zhan, Q. & Leger, J. Focus shaping using cylindrical vector beams. Opt. Express 10(7), 324-331 (2002).
- 4. Padgett, M. & Bowman, R. Tweezers with a twist. Nat. Photon. 5, 343-348 (2011).
- 5. Zhan, Q. Trapping metallic Rayleigh particles with radial polarization. Opt. Express 12(15), 3377-3382 (2004).
- Lopez, R. P., Ruiz, U., Arrizon, V. & Garcia, R. R. Optical manipulation using optimal annular vortices. Opt. Lett. 41(17), 4138–4141 (2016).
- 7. Marrucci, L. et al. Spin-to-orbital conversion of the angular momentum of light and its classical and quantum applications. J. Opt. 13(6), 064001 (2011).
- 8. Nagali, E. et al. Quantum Information Transfer from Spin to Orbital Angular Momentum of Photons. Phys. Rev. Lett. 103, 013601 (2009)
- 9. Naidoo, D. et al. Controlled generation of higher-order Poincaré sphere beams from a laser. Nat. Photon. 10, 327-332 (2016).
- Segawa, S., Kozawa, Y. & Sato, S. Resolution enhancement of confocal microscopy by subtraction method with vector beams. Opt. Lett. 39(11), 3118–3121 (2014).
- 11. Karimi, E. et al. Spin-orbit hybrid entanglement of photons and quantum contextuality. Phys. Rev. A. 82, 022115 (2010).
- 12. Xue, Y., Kuang, C., Li, S., Gu, Z. & Liu, X. Sharper fluorescent super-resolution spot generated by azimuthally polarized beam in STED microscopy. *Opt. Express* 20(16), 17653–17666 (2012).
- 13. Lavery, M. P., Speirits, F. C., Barnett, S. M. & Padgett, M. J. Detection of a spinning object using light's orbital angular momentum. Science 341, 537–540 (2013).
- 14. D'Ambrosio, V. et al. Photonic polarization gears for ultra-sensitive angular measurements. Nat. Commun. 4, 2432 (2013).
- Yue, F. et al. Multichannel Polarization-Controllable Superpositions of Orbital Angular Momentum States. Adv. Mater. 29(15), 1603838 (2017).
- Molina-Terriza, G., Recolons, J., Torres, J. P., Torner, L. & Wright, E. M. Observation of the dynamical inversion of the topological charge of an optical vortex. *Phys. Rev. Lett.* 87, 023902 (2001).
- 17. Molina-Terriza, G., Wright, E. M. & Torner, L. Propagation and control of noncanonical optical vortices. *Opt. Lett.* 26, 163–165 (2001).
- 18. Cardano, F., Karimi, E., Marrucci, L., de Lisio, C. & Santamato, E. Generation and dynamics of optical beams with polarization singularities. *Opt. Express* 21(7), 8815–8820 (2013).
- 19. Moreno, I., Davis, J. A., Sánchez-López, M. M., Badham, K. & Cottrell, D. M. Nondiffracting Bessel beams with polarization state that varies with propagation distance. Opt. Lett. 40(23), 5451–5454 (2015).
- Davis, J. A., Moreno, I., Badham, K., Sánchez-López, M. M. & Cottrell, D. M. Nondiffracting vector beams where the charge and the
  polarization state vary with propagation distance. Opt. Lett. 41(10), 2270–2273 (2016).
- 21. Kildishev, A. V., Boltasseva, A. & Shalaev, V. M. Planar Photonics with Metasurfaces. Science 339, 1232009 (2013).
- 22. Jang, M. et al. Wavefront shaping with disorder-engineered metasurfaces. Nat. Photon. 12, 84-90 (2018).
- 23. Yu, N. & Capasso, F. Flat optics with designer metasurfaces. Nat. Mater. 13, 139-150 (2014).
- 24. Khorasaninejad, M. et al. Metalenses at visible wavelengths: Diffraction-limited focusing and subwavelength resolution imaging. Science 352(6290), 1190–1194 (2016).
- Ou, K. et al. High efficiency focusing vortex generation and detection with polarization-insensitive dielectric metasurfaces. Nanoscale 10(40), 19154–19161 (2018).
- Lin, D., Fan, P., Hasman, E. & Brongersma, M. L. Dielectric gradient metasurface optical elements. Science 345(6194), 298–302 (2014).
- 27. Liu, Y. et al. Generation of perfect vortex and vector beams based on Pancharatnam-Berry phase elements. Sci. Rep. 7, 44096 (2017).
- 28. Yue, F. et al. Vector Vortex Beam Generation with a Single Plasmonic Metasurface. ACS Photonics 3, 1558-1563 (2016).
- 29. Zeng, J., Li, L., Yang, X. & Gao, J. Generating and Separating Twisted Light by gradient—rotation Split-Ring Antenna Metasurfaces. *Nano Lett.* 16, 3101–3108 (2016).
- 30. Zeng, J., Luk, T. S., Gao, J. & Yang, X. Spiraling light with magnetic metamaterial quarter-wave turbines. Sci. Rep. 7, 11824 (2017).
- 31. Zhang, K., Ding, X., Zhang, L. & Wu, Q. Anomalous three-dimensional refraction in the microwave region by ultra-thin high efficiency metalens with phase discontinuities in orthogonal directions. *New J. Phys.* 16, 103020 (2014).
- 32. Zhang, K. et al. Phase-engineered metalenses to generate converging and non-diffractive vortex beam carrying orbital angular momentum in microwave region. Opt. Express 26(2), 1351–1360 (2018).
- 33. Ni, X., Ishii, S., Kildishev, A. V. & Shalaev, V. M. Ultra-Thin, Planar, Babinet-Inverted Plasmonic Metalenses. Light: Sci. Appl. 2, e72 (2013).
- 34. Chen, X. et al. Dual-Polarity Plasmonic Metalens for Visible Light. Nat. Commun. 3, 1198 (2012).
- 35. Khorasaninejad, M. *et al.* Multispectral Chiral Imaging with a Metalens. *Nano Lett.* **16**, 4595–4600 (2016).
- 36. Aieta, F. et al. Aberration-Free Ultrathin Flat Lenses and Axicons at Telecom Wavelengths Based on Plasmonic Metasurfaces. Nano Lett. 12, 4932–4936 (2012).
- 37. Yu, N. F. et al. A Broadband, Background-Free Quarter-Wave Plate Based on Plasmonic Metasurfaces. Nano Lett. 12, 6328–6333 (2012).
- 38. Zhao, Y. & Alu, A. Tailoring the Dispersion of Plasmonic Nanorods to Realize Broadband Optical Meta-Waveplates. *Nano Lett.* 13, 1086–1091 (2013).
- 39. Cao, W., Yang, X. & Gao, J. Broadband polarization conversion with anisotropic plasmonic metasurfaces. Sci. Rep. 7, 8841 (2017).
- 40. Yang, H. *et al.* Annihilating optical angular momentum and realizing a meta-waveplate with anomalous functionalities. *Opt. Express* **25**(15), 16907–16915 (2017).
- 41. Ni, X., Kildishev, A. V. & Shalaev, V. M. Metasurface Holograms for Visible Light. Nat. Commun. 4, 2807 (2013).
- 42. Huang, L. et al. Three-Dimensional Optical Holography Using a Plasmonic Metasurface. Nat. Commun. 4, 2808 (2013).
- 43. Wan, W., Gao, J. & Yang, X. Metasurface holograms for holographic imaging. Adv. Opt. Mater. 5, 1700541 (2017).
- 44. Wan, W., Gao, J. & Yang, X. Full-Color Plasmonic Metasurface Holograms. ACS Nano 10, 10671 (2016).
- 45. Zheng, G. et al. Metasurface Holograms Reaching 80% Efficiency. Nat. Nanotechnol. 10, 308–312 (2015).
- Tkachenko, G. & Brasselet, E. Helicity-dependent three-dimensional optical trapping of chiral microparticles. Nat. Commun. 5, 4491 (2014).
- 47. Oroszi, L., Galajda, P., Kirei, H., Bottka, S. & Ormos, P. Direct measurement of torque in an optical trap and its application to double-strand DNA. *Phys. Rev. Lett.* **97**(5), 058301 (2006).
- 48. Wang, J. et al. Terabit free-space data transmission employing orbital angular momentum multiplexing. Nat. Photon. 6, 488 (2012).
- 49. Fickler, R. et al. Quantum Entanglement of High Angular Momenta. Science 338, 640 (2012).
- 50. Yu, N. F. et al. Light propagation with phase discontinuities: generalized laws of reflection and refraction. Science 334, 333–337 (2011).
- 51. Dudley, A., Li, Y., Mhlanga, T., Escuti, M. & Forbes, A. Generating and measuring nondiffracting vector Bessel beams. *Opt. Lett.* **38**(17), 3429–3432 (2013).

- 52. Stalder, M. & Schadt, M. Linearly polarized light with axial symmetry generated by liquid-crystal polarization converters. *Opt. Lett.* 21(23), 1948–1950 (1996).
- 53. Berry, M. V. Index formulae for singular lines of polarization. J. Opt. A. 6(7), 675 (2004).
- 54. Chremmos, I. D., Chen, Z., Christodoulides, D. N. & Efremidis, N. K. Bessel-like optical beams with arbitrary trajectories. *Opt. Lett.* 37(23), 5003–5005 (2012).
- 55. Fu, S., Wang, T. & Gao, C. Perfect optical vortex array with controllable diffraction order and topological charge. *J. Opt. Soc. Am. A.* 33(9), 1836–1842 (2016).

## **Acknowledgements**

The authors acknowledge support from the Office of Naval Research under Grant No. N00014-16-1-2408, and the National Science Foundation under Grant No. ECCS-1653032 and DMR-1552871. The authors thank the support from the Intelligent Systems Center and the Materials Research Center at Missouri S&T.

# **Author Contributions**

X.Y., J.G. and Y.Z. conceived the idea of the research. Y.Z. performed all the numerical simulations and experiments. X.Y. and J.G. directed the research. All authors discussed the results and contributed to the manuscript.

# **Additional Information**

Supplementary information accompanies this paper at https://doi.org/10.1038/s41598-019-46433-z.

**Competing Interests:** The authors declare no competing interests.

**Publisher's note:** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <a href="https://creativecommons.org/licenses/by/4.0/">https://creativecommons.org/licenses/by/4.0/</a>.

© The Author(s) 2019